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Application No.: 10/584,826
Preliminary Amendment - First Action Not Yet Received

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **John Michael Redmayne** Confirmation No.: **Not yet assigned**
Application No.: **10/584,826** Group Art Unit: **Not yet assigned**
International Serial No.: **PCT/NZ2004/000331** International Filing Date: **December 23, 2004**
Filing Date: **Not yet known** Examiner: **Not yet assigned**
For: **METHOD AND APPARATUS FOR PRICING SECURITIES**

**EXPRESS MAIL LABEL NO: EV 800284785 US
DATE OF DEPOSIT: September 1, 2006**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT PURSUANT TO 37 CFR § 1.115

Preliminary to examination of the above-captioned patent application, please amend the application as follows:

- Amendments to the Specification** begin on page 2 of this paper.
- Amendments to the Claims** are reflected in the listing of the claims which begins on page 3 of this paper.
- Amendments to the Drawings** begin on page _____ of this paper and include an attached replacement sheet.
- Remarks** begin on page 57 of this paper.